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ATTORNEY DOCKET NO. 041501-5415

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:		_
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Application No.: Unassigned) Group Art Unit: Unassigned	5/01
Filed: November 15, 2001) Examiner: Unassigned	11/1
For: METHOD FOR FORMING SILICON QUAN TUM DOTS AND METHOD FOR FABRI- CATING NONVOLATILE MEMORY DE- VICE USING THE SAME	/ -)))	■ ⅓

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the document listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application and before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of each listed document is attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If it should be determined that the listed document does not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document. Applicant further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

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Date: November 15, 2001

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